



PATENTS
CF-19

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
PATENT APPLICATION

Applicants : Ginsberg et al.
Application No. : 09/853,430 Confirmation No. : 6761
Filed : May 10, 2001
For : SYSTEMS AND METHODS FOR ANONYMOUS
ELECTRONIC TRADING
Group Art Unit : 2164

New York, New York 10020
April 3, 2003

Box DAC
Hon. Commissioner for Patents
Washington, D.C. 20231

NOTIFICATION UNDER 37 C.F.R. § 1.213(c)
OF FOREIGN FILING OF PATENT APPLICATION

Sir:

Pursuant to 37 C.F.R. § 1.213(c), applicants hereby notify the Patent and Trademark Office that the above-identified patent application was filed in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing.

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The earliest such filing occurred on August 1, 2001, which is more than forty-five days prior to this notification. A petition under 37 C.F.R. § 1.137(b,f) to revive this application is being filed concurrently herewith.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Hon. Commissioner for Patents
P.O. Box 2327

Arlington, Virginia 22202

on April 3, 2003

CLAUDE J. Simon - von Gersdorff

Name of Person Signing Certificate

[Signature]

Signature of Person Signing Certificate

April 3, 2003

Date of Signature

Respectfully submitted,

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(Limited Recognition)

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